

0300
\$
ES

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Knyphausen et al.

Group Art Unit: Unassigned

Serial No.: 09/975,084

Examiner: Unassigned

Filed: October 12, 2001

Atty. Dkt. No. 004832.00068

For: CLIENT-DRIVEN WORKLOAD ENVIRONMENT

RESPONSE TO NOTICE OF INCOMPLETE REPLY

BOX MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

Sir:

Responsive to the U.S. Patent and Trademark Office "Notice Of Incomplete Reply" mailed April 2, 2002, Applicants are hereby submitted the drawing sheets with the appropriate margins required. Accordingly, it is respectfully requested that a filing date of October 12, 2001, be granted to this application which is the filing date the original specification and drawings were filed with the Patent and Trademark Office.

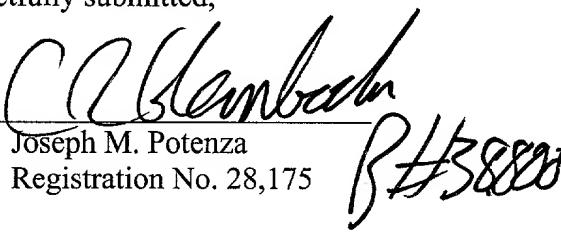
The Commissioner is authorized to charge our Deposit Account No. 19-0733 the amount of \$130.00 to cover the surcharge for the fee associated with the filing of this response.

In the event any variance exists between the amount authorized and the Patent Office charges, please charge any difference to Deposit Account 19-0733.

Respectfully submitted,

By:

Joseph M. Potenza
Registration No. 28,175

A handwritten signature in black ink, appearing to read "C. A. Blumberg" above "B. J. S. 888".

BANNER & WITCOFF, LTD.
1001 G Street, N.W. – 11th Floor
Washington, D.C. 20001
Telephone: 202/508-9100
Dated: April 15, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,084	10/12/2001	Iko Knyphausen	004832.00068

CONFIRMATION NO. 7677

22907
BANNER & WITCOFF
1001 G STREET N W
SUITE 1100
WASHINGTON, DC 20001

FORMALITIES LETTER



OC000000007768022

Date Mailed: 04/02/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/11/2002 to the Notice to File Missing Parts (Notice) mailed 11/15/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/17/2002 SDENB0B1 00000054 190733 09975084

01 FC:105 130.00 CH

A copy of this notice MUST be returned with the reply.

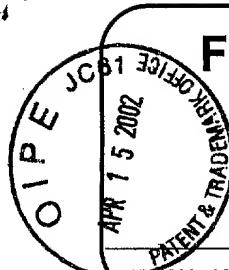
Ayida Gaitan

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130)

<i>Complete if Known</i>	
Application Number	09/975,084
Filing Date	October 12, 2001
First Named Inventor	Knyphausen et al.
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	004832.00068

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Fee Code</th> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 10%;">Small Entity Fee (\$)</th> <th style="width: 65%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) surcharge for late reply to Incomplete Reply</td><td>130</td></tr> <tr> <td colspan="4" style="text-align: center;">SUBTOTAL (1) (\$ 0)</td> <td style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: center;">SUBTOTAL (3) (\$ 130)</td> </tr> <tr> <td colspan="4"> **or number previously paid, if greater; For Reissues, see above </td> <td colspan="2"></td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	Surcharge - late filing fee or oath		127	50	227	Surcharge - late provisional filing fee or cover sheet		139	130	139	Non-English specification		147	2,520	147	For filing a request for reexamination		112	920*	112	Requesting publication of SIR prior to Examiner action		113	1,840*	113	Requesting publication of SIR after Examiner action		115	110	215	Extension for reply within first month		116	400	216	Extension for reply within second month		117	920	217	Extension for reply within third month		118	1,440	218	Extension for reply within fourth month		128	1,960	228	Extension for reply within fifth month		119	320	219	Notice of Appeal		120	320	220	Filing a brief in support of an appeal		121	280	221	Request for oral hearing		138	1,510	138	Petition to institute a public use proceeding		140	110	240	Petition to revive – unavoidable		141	1,280	241	Petition to revive – unintentional		142	1,280	242	Utility issue fee (or reissue)		143	460	243	Design issue fee		144	620	244	Plant issue fee		122	130	122	Petitions to the Commissioner		123	50	123	Processing fee under 37 CFR 1.17 (q)		126	180	126	Submission of Information Disclosure Stmt		581	40	581	Recording each patent assignment per property (times number of properties)		146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	Request for Continued Examination (RCE)		169	900	169	Request for expedited examination of a design application		Other fee (specify) surcharge for late reply to Incomplete Reply				130	SUBTOTAL (1) (\$ 0)				*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 130)	**or number previously paid, if greater; For Reissues, see above					
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																					
105	130	205	Surcharge - late filing fee or oath																																																																																																																																																																						
127	50	227	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																						
139	130	139	Non-English specification																																																																																																																																																																						
147	2,520	147	For filing a request for reexamination																																																																																																																																																																						
112	920*	112	Requesting publication of SIR prior to Examiner action																																																																																																																																																																						
113	1,840*	113	Requesting publication of SIR after Examiner action																																																																																																																																																																						
115	110	215	Extension for reply within first month																																																																																																																																																																						
116	400	216	Extension for reply within second month																																																																																																																																																																						
117	920	217	Extension for reply within third month																																																																																																																																																																						
118	1,440	218	Extension for reply within fourth month																																																																																																																																																																						
128	1,960	228	Extension for reply within fifth month																																																																																																																																																																						
119	320	219	Notice of Appeal																																																																																																																																																																						
120	320	220	Filing a brief in support of an appeal																																																																																																																																																																						
121	280	221	Request for oral hearing																																																																																																																																																																						
138	1,510	138	Petition to institute a public use proceeding																																																																																																																																																																						
140	110	240	Petition to revive – unavoidable																																																																																																																																																																						
141	1,280	241	Petition to revive – unintentional																																																																																																																																																																						
142	1,280	242	Utility issue fee (or reissue)																																																																																																																																																																						
143	460	243	Design issue fee																																																																																																																																																																						
144	620	244	Plant issue fee																																																																																																																																																																						
122	130	122	Petitions to the Commissioner																																																																																																																																																																						
123	50	123	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																						
126	180	126	Submission of Information Disclosure Stmt																																																																																																																																																																						
581	40	581	Recording each patent assignment per property (times number of properties)																																																																																																																																																																						
146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																						
149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																						
179	740	279	Request for Continued Examination (RCE)																																																																																																																																																																						
169	900	169	Request for expedited examination of a design application																																																																																																																																																																						
Other fee (specify) surcharge for late reply to Incomplete Reply				130																																																																																																																																																																					
SUBTOTAL (1) (\$ 0)				*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$ 130)																																																																																																																																																																				
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																									
1. BASIC FILING FEE <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Large Entity Fee Code</th> <th style="width: 15%;">Fee (\$)</th> <th style="width: 10%;">Small Entity Fee Code</th> <th style="width: 15%;">Fee (\$)</th> <th style="width: 60%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table>				Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee		106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		2. EXTRA CLAIM FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Total Claims</th> <th style="width: 15%;">Extra Claims</th> <th style="width: 10%;">Fee from below</th> <th style="width: 15%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td> </td><td>-20 **</td><td>= 0</td><td>X = 0</td></tr> <tr><td>Independent Claims</td><td> </td><td>0</td><td></td></tr> <tr><td>Multiple Dependent</td><td> </td><td>X = 0</td><td></td></tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid		-20 **	= 0	X = 0	Independent Claims		0		Multiple Dependent		X = 0																																																																																																																	
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																				
101	740	201	370	Utility filing fee																																																																																																																																																																					
106	330	206	165	Design filing fee																																																																																																																																																																					
107	510	207	255	Plant filing fee																																																																																																																																																																					
108	740	208	370	Reissue filing fee																																																																																																																																																																					
114	160	214	80	Provisional filing fee																																																																																																																																																																					
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																						
	-20 **	= 0	X = 0																																																																																																																																																																						
Independent Claims		0																																																																																																																																																																							
Multiple Dependent		X = 0																																																																																																																																																																							
3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Fee Code</th> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 10%;">Small Entity Fee (\$)</th> <th style="width: 60%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim, if not paid		109	84	209	42	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$ 0)																																																																																																																																		
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																					
103	18	203	9	Claims in excess of 20																																																																																																																																																																					
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																					
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																					
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																					
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																					

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Joseph M. Potenza	Registration No. Attorney/Agent)	28,175	Telephone 202-508-9100
Signature	<i>CR Glembach</i>			Date 04/15/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.